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CORRECTED NOTICE OF ALLOWANCE AND FEE(S) DUE

26263

7590

03/19/2008

SONNENSCHEIN NATH & ROSENTHAL LLP P.O. BOX 061080 WACKER DRIVE STATION, SEARS TOWER CHICAGO, IL 60606-1080

EXAMINER				
NGUYEN, NGA B				
ART UNIT	PAPER NUMBER			
3692				

DATE MAILED: 03/19/2008

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
08/865,403	05/29/1997	TOMOYUKI ASANO	09792909-4865	8388

TITLE OF INVENTION: CHARGING SYSTEM AND CHARGING METHOD

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1440	\$0	\$0	\$1440	06/19/2008

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

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Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

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Alexandria, Virginia 22313-1450 (571)-273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for

maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 26263 7590 03/19/2008 Certificate of Mailing or Transmission SONNENSCHEIN NATH & ROSENTHAL LLP I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. P.O. BOX 061080 WACKER DRIVE STATION, SEARS TOWER CHICAGO, IL 60606-1080 (Depositor's name (Signature (Date APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 08/865,403 05/29/1997 TOMOYUKI ASANO 09792909-4865 8388 TITLE OF INVENTION: CHARGING SYSTEM AND CHARGING METHOD APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional NO \$1440 \$0 \$0 \$1440 06/19/2008 **EXAMINER** ART UNIT CLASS-SUBCLASS NGUYEN, NGA B 3692 705-400000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) the name of a single firm (having as a member a ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: lssue Fee A check is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number ______ (enclose an extra copy of this fo Advance Order - # of Copies _ (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ■ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Date Typed or printed name Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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26263 75	590 03/19/2008		EXAM	IINER
SONNENSCHEIN NATH & ROSENTHAL LLP P.O. BOX 061080 WACKER DRIVE STATION, SEARS TOWER CHICAGO, IL 60606-1080		NGUYEN, NGA B		
		ART UNIT	PAPER NUMBER	
			3692	0

Determination of Patent Term Extension under 35 U.S.C. 154 (b)

(application filed after June 7, 1995 but prior to May 29, 2000)

The Patent Term Extension is 1826 day(s). Any patent to issue from the above-identified application will include an indication of the 1826 day extension on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Extension is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)
	08/865,403	ASANO, TOMOYUKI
Notice of Allowability	Examiner	Art Unit
	NGA B. NGUYEN	3692
	NGA B. NGUTEN	3692
The MAILING DATE of this communication apper All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this ap or other appropriate communicatior GHTS. This application is subject to	plication. If not included n will be mailed in due course. THIS
1. \boxtimes This communication is responsive to <u>the BPAI Decision file</u>	d on October 31, 2007.	
2. The allowed claim(s) is/are <u>9,17-25,37-46 and 53-62</u> .		
3. Acknowledgment is made of a claim for foreign priority un	der 35 U.S.C. § 119(a)-(d) or (f).	
a) ☑ All b) ☐ Some* c) ☐ None of the:		
 Certified copies of the priority documents have 	been received.	
2. Certified copies of the priority documents have	been received in Application No	·
Copies of the certified copies of the priority doc	cuments have been received in this	national stage application from the
International Bureau (PCT Rule 17.2(a)).		
* Certified copies not received:		
Applicant has THREE MONTHS FROM THE "MAILING DATE" on noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the requirements
4. A SUBSTITUTE OATH OR DECLARATION must be submit INFORMAL PATENT APPLICATION (PTO-152) which give		
5. CORRECTED DRAWINGS (as "replacement sheets") mus	t be submitted.	
(a) \square including changes required by the Notice of Draftspers	on's Patent Drawing Review (PTO-	948) attached
1) ☐ hereto or 2) ☐ to Paper No./Mail Date		
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment or in the C	Office action of
Identifying indicia such as the application number (see 37 CFR 1. each sheet. Replacement sheet(s) should be labeled as such in the		
6. DEPOSIT OF and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT I		
Attachment(s) 1. ☑ Notice of References Cited (PTO-892)	5. ☐ Notice of Informal F	Patent Application
2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)	6. ☐ Interview Summary	
	Paper No./Mail Da	te
 Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date <u>2/19/08</u> 	7. Examiner's Amendr	ment/Comment
 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material 	8. 🛛 Examiner's Stateme	ent of Reasons for Allowance
•	9.	

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DETAILED ACTION

1. This Office Action is the answer to the BPAI Decision filed on October 31, 2007, which paper has been placed of record in the file.

2. Claims **9**, **17-25**, **37-46**, and **53-62** are pending in this application.

Allowable Subject Matter/Reasons for Allowance

3. Claims **9**, **37**, and **53** are allowed over the prior arts cited records.

The closest prior arts are:

1) Sirbu (US 5,809,144) discloses a method for purchasing and delivering goods over a network is comprised of the steps of identifying a digital good to be purchased. A purchase price for the digital good is negotiated. After the negotiation step, an authenticated purchase request is sent to the merchant. The merchant encrypts the desired digital good and calculates a first cryptographic checksum for the encrypted good. The encrypted digital good and the first cryptographic checksum together with a timestamp are then transmitted to the customer. The customer calculates a second cryptographic checksum for the received encrypted digital good. The customer creates an electronic payment order containing information identifying the transaction, the second cryptographic checksum, credentials, and the timestamp. The electronic payment order is transmitted to the merchant. The merchant compares the first and second cryptographic checksums to ensure that they match, and if so, the merchant adds an electronic signature and a decryption key to the electronic payment order. The merchant submits the merchant signed electronic payment order and the key to an

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account server for review. The account server reviews the information in the electronic payment order and sends a message, including the key if the review is positive, to the merchant. The merchant forwards the message to the customer. If the message contained the key, the customer uses the key to decrypt the goods. Sirbu does not disclose that the countersigned EPO is sent to the account server "when a disclosure request of service requests and digital signatures is received." In particular, Sirbu does not teach that the step of sending the countersigned EPO is contingent on receipt of a disclosure request. Rather, in Sirbu, the merchant application software automatically sends the countersigned EPO to the account server 16. Although the countersigned EPO includes the EPO already received by the merchant from the user, the EPO sent from the user does not amount to a disclosure request, because Sirbu does not disclose that this EPO contains an explicit or implicit request by the user to the merchant that asks the merchant to disclose the user's service request and digital signature to the account server. As such, Sirbu fails to disclose the step of "providing said service request and said digital signature to said accounting terminal when a disclosure request of service requests and digital signatures is received."

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2) Fox (US 5,790,677) discloses an electronic commerce system facilitates secure electronic commerce transactions among multiple participants. Each electronic commerce transaction involves at least one commerce document defining the transaction and at least one commerce instrument defining a payment for the transaction. The electronic commerce system has a credential binding server at a trusted credential authority, multiple computing units at associated participants, and a

communication system interconnecting the credential binding server and the multiple computing units. The electronic commerce system operates in two phases: a registration phase and a transaction phase. During the registration phase, each of the computing units generate and send a registration packet over the communication system to the credential binding server. Unique credentials are produced by the credential binding server based upon the registration packets sent back to the computing units. During the transaction phase, an originating computing unit initially requests, receives, and verifies the credentials of expected recipient computing units to ensure communication between authenticate participants. Thereafter, the originating computing unit signs and encrypts the commerce document(s) and the commerce instrument(s) in a manner which ensures that only the intended recipients can decrypt them. The originating computing unit then sends both the commerce document(s) and instrument(s) over the communication system to a first recipient computing unit. The first recipient computing unit decrypts and verifies the commerce document(s) and/or instruments intended for it. The first recipient computing unit then passes the balance of the encrypted commerce document(s) and/or instrument(s) over the communication system to a second recipient computing unit, which decrypts and verifies the commerce document(s) and/or instrument(s) intended for it. This process is continued until all commerce documents and commerce instruments are distributed, decrypted, and verified by their intended recipients. Similarly as Sirbu, Fox does not disclose that the PO is sent to the acquirer "when a disclosure request of service requests and digital signatures is received." In particular, Fox does not teach that the step of sending the PO

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is contingent on receipt of a disclosure request. Rather, in Fox, the merchant sends the signed PO to the acquirer 406. Although the signed PO includes the PO already received by the merchant from the user, the PO sent from the user does not amount to a disclosure request, because Fox does not disclose that this PO contains an explicit or implicit request by the user to the merchant that asks the merchant to disclose the user's service request and digital signature to the acquirer. As such, Fox fails to disclose the step of "providing said service request and said digital signature to said accounting terminal when a disclosure request of service requests and digital signatures is received."

Therefore, it is clear from the description of Sirbu's and Fox's inventions that the prior arts do not considered the possibility of: A method for providing a service to a user, comprising: providing said service and said digital signature to said accounting terminal when a disclosure request of service requests and digital signatures is received, as included in claims 9, 37, and 53.

4. Claims (17-25), (38-46), and (54-62), are allowed because they are dependent claims of the allowable independent claims 9, 37, and 53 above, in that order.

Conclusion

- 5. Claims **9**, **17-25**, **37-46**, and **53-62** are allowed.
- 6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to examiner Nga B. Nguyen whose telephone number is

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(571) 272-6796. The examiner can normally be reached on Monday-Friday from 9:00AM-5:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kambiz Abdi can be reached on (571) 272-6702.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (571) 272-3600.

7. Any response to this action should be mailed to:

Commissioner of Patents and Trademarks

P.O. Box 1450

Alexandria VA, 22131-1450

Or faxed to:

(571) 273-8300 (for formal communication intended for entry),

or

(571) 273-6796 (for informal or draft communication, please label "PROPOSED" or "DRAFT").

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic

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Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Nga B. Nguyen/

Primary Examiner, Art Unit 3692

February 20, 2008